

ABOUT WEDBUSH SECURITIES



WHO WE ARE

Wedbush Securities is a premier securities and investment services firm, providing financial services to individual, institutional, and issuing clients for over 60 years. As an organization, we thrive because of the dedication of approximately 1,000 colleagues in nearly 100 offices across the nation who make Wedbush a unique and successful company. The individuals who make up our firm possess a collective entrepreneurial spirit, are guided by ethics, and are driven to share in an unyielding devotion to our clients.

We are focused on delivering best in class service with client loyalty and integrity at the forefront of our every action. Our firm continues to grow through exceptional client relationships, with an emphasis and commitment to client financial safety and success.

OUR BUSINESS

As a full-service securities firm, Wedbush's business extends into many different areas, including:

- Individual and institutional investing, along with advisory services, through Wealth Management.
- Clearing and execution services through Advanced Clearing Services.
- Equities, investment banking, and fixed income, through Equity Capital Markets.
- Futures execution and clearing services to a variety of clients through Wedbush Futures.
- Robust services for active hedge fund and professional traders we offer through Prime Services.
- Specialized services through our commodity hedging division Kluis Commodity Advisors.

WEALTH MANAGEMENT

The Wealth Management Group provides personalized investing, advice, guidance and planning services to both individual and business clients. The Firm's entrepreneurial environment has led to the growth of over 100 offices across the country. Our Advisors are committed to building long-term relationships rooted in trust, reliability, and expertise.

Wealth Management services include:

*Financial Planning
Portfolio Construction
Investment Services
Retirement Planning
Asset Management Strategies
Insurance and Annuities*

ADVANCED CLEARING SERVICES

For more than 40 years, Advanced Clearing Services & Prime Services have been leaders in clearing services—specializing in providing custom execution, advanced clearing, and custody solutions for organizations including:

- Retail Broker Dealers
- Institutional Firms
- Automated Trading Firms
- Professional Trading Firms
- Hedge Funds
- Investment Banks
- Municipal Bond Underwriters



FUTURES

Wedbush Futures is a full service Futures Commission Merchant (“FCM”) that provides execution and clearing services for futures, and US cash treasuries to a variety of clients, including non-clearing FCMs, introducing brokers, professional traders, institutions, commercial hedgers, and individual investors. As a well-capitalized firm not subject to the same constraints as bank-owned FCMs, we are able to offer clients unique and innovative solutions and personalized service to meet their evolving needs. Since day one of trading, Wedbush Futures has proudly offered clearing for bitcoin futures.

The Kluis Commodity Advisors team is made up of commodity experts who provide farmers with a full set of tools to help take control over their grain marketing.

CAPITAL MARKETS

Equity Capital Markets Group offers a wide range of products and services to institutional and corporate clients through:

Equities

Includes our award-winning equity research department, along with full sales and trading capabilities, and private company strategies.

Investment Banking

Includes senior, industry-focused professionals, who have extensive transactional backgrounds, long-standing industry relationships, and dedication to playing an active role in every transaction.

Fixed Income

Specializes in providing comprehensive, customized investment solutions, and financing assistance to private client, institutional, municipal, and corporate clients.



1000 Wilshire Boulevard | Los Angeles, California 90017 | (213) 688-8000 | Member NFA/NYSE/FINRA/SIPC